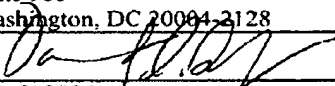
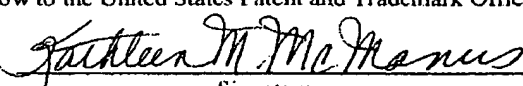


|  |   |  |                |
|--|---|--|----------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i>   |   | Application Number   | 09/828,978     |
|  |   | Filing Date  | April 10, 2001 |
|  |   | First Named Inventor   | Rainer UHL     |
|  |   | Group Art Unit   | 2872           |
|  |   | Examiner Name  | L. A. Fineman  |
| Total Number of Pages in This Submission   | 7 | Attorney Docket Number   | 740105-70      |
| <b>ENCLOSURES (check all that apply)</b>   |   |  |                |
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Request for Reconsideration<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____  |                |
|  |   | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures<br><input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |                |
| Remarks  |   | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.   |                |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | David S. Safran, Reg. No. 27,997<br>Nixon Peabody LLP<br>401 9 <sup>TH</sup> Street, N.W.<br>Suite 900<br>Washington, DC 20004-3128 |
| Signature                                  |    |
| Date                                       | July 8, 2004  |

| CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]   |              |
|--|--------------|
| I hereby certify that this correspondence is being:  |              |
| <input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 |              |
| <input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306  |              |
| Date   | July 8, 2004 |
| <br>Signature<br>Kathleen M. McManus<br>Typed or printed name  |              |

NVA236060.1

# FEE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$420.00)

|                      |            |
|----------------------|------------|
| Complete if Known    |            |
| Application Number   | 09/828,978 |
| Filing Date          | 04/10/2001 |
| First Named Inventor | Rainer UHL |
| Examiner Name        | Fineman    |
| Art Unit             | 2872       |
| Attorney Docket No.  | 740105-70  |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380(740105-70)

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 750                   | 2001                  | 375                   | Utility filing fee     |          |
| 1002                  | 330                   | 2002                  | 165                   | Design filing fee      |          |
| 1003                  | 520                   | 2003                  | 260                   | Plant filing fee       |          |
| 1004                  | 750                   | 2004                  | 375                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |
| SUBTOTAL (1)          |                       |                       |                       |                        | (\$ 0)   |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 14 -20\*\* = 0 X Fee from below = 0  
Independent Claims 1 -3\*\* = 0 X Fee from below = 0  
Multiple Dependent X Fee from below = 0

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | <u>Fee Description</u>                                     |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 84                    | 2201                  | 42                    | Independent claims in excess of 3                          |
| 1203                  | 280                   | 2203                  | 140                   | Multiple dependent claim, if not paid                      |
| 1204                  | 84                    | 2204                  | 42                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1051                  | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                  | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                  | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                  | 2,520                 | 1812                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |
| 1804                  | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |
| 1805                  | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                  | 110                   | 2251                  | 55                    | Extension for reply within first month                                     |
| 1252                  | 420                   | 2252                  | 210                   | Extension for reply within second month                                    |
| 1253                  | 930                   | 2253                  | 465                   | Extension for reply within third month                                     |
| 1254                  | 1,450                 | 2254                  | 725                   | Extension for reply within fourth month                                    |
| 1255                  | 1,970                 | 2255                  | 985                   | Extension for reply within fifth month                                     |
| 1401                  | 320                   | 2401                  | 160                   | Notice of Appeal   |
| 1402                  | 320                   | 2402                  | 160                   | Filing a brief in support of an appeal                                     |
| 1403                  | 280                   | 2403                  | 140                   | Request for oral hearing   |
| 1451                  | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                  | 110                   | 2452                  | 55                    | Petition to revive - unavoidable   |
| 1453                  | 1,300                 | 2453                  | 650                   | Petition to revive - unintentional   |
| 1501                  | 1,300                 | 2501                  | 650                   | Utility issue fee (or reissue)   |
| 1502                  | 470                   | 2502                  | 235                   | Design issue fee   |
| 1503                  | 630                   | 2503                  | 315                   | Plant issue fee  |
| 1460                  | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                  | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                  | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                  | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                  | 750                   | 2809                  | 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810                  | 750                   | 2810                  | 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                  | 750                   | 2801                  | 375                   | Request for Continued Examination (RCE)                                    |
| 1802                  | 900                   | 1802                  | 900                   | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$420.00)

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

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Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306

July 8, 2004

Date

Signature  
Kathleen M. McManus

Typed or printed name

## SUBMITTED BY

|                   |                 |                                   |              |           |              |
|-------------------|-----------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | David S. Safran | Registration No. (Attorney/Agent) | 27,997       | Telephone | 703-827-8094 |
| Signature         |                 | Date                              | July 8, 2004 |           |              |